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### **Project Case Overview**

*An investment management company start up needed to select a Separately Managed Account (SMA) platform for the USA market. They required a platform that could support multiple managers, portfolio management, a back office, along with client and advisor facing functionality.*

*Resolute produced a high-level business model along with the key business requirements needed from a Portfolio Management System (PMS) solution.*

*The search and analysis process produced a short list of candidates itemizing licensing costs, customization sizing estimates and level of fit with the business model of the firm. Resolute made a system recommendation that was then customized to the client's needs. Testing and implementation followed.*

### **Project Scope and Objectives**

- Obtain current information on SMA Platforms
- Identify needed customizations and sizing estimates
- Produce a short list of qualified vendors meeting the criteria and make a recommendation

### **Key Client Benefits**

- Minimal internal resource time spent in research
- Concise high-level information aiding informed decision making
- Supplement business staff to assist in the acceptance testing
- Vendor management

### **Key Activities**

- Work with client to define business requirements and future strategy
- Define high-level business model and system interfaces
- Marketplace search to prepare a list of vendors
- Refine vendor inquiry based on key needs and identified criteria
- Interact with vendors through a Request for Information (RFI) and presentations
- Analyze gaps between requirements and vendor offer
- Evaluate, short list and estimate
- Work with vendor to customize their solution
- Assist client in acceptance testing
- Implementation

### **Major Deliverables**

- Business requirements document
- SMA system inventory
- RFI document
- Vendor responses and materials
- Evaluation and ranking matrix with a short list of qualified vendors

### **Length of Project**

- Four months

### **RTS Resources**

- Analyst(s)
  - Delivery Manager
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